



# Issues and Challenges of Load Balancing Techniques in Cloud Computing: A Survey

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With the growth in computing technologies, cloud computing has added a new paradigm to user services that allows accessing Information Technology services on the basis of pay-per-use at any time and any location. Owing to flexibility in cloud services, numerous organizations are shifting their business to the cloud and service providers are establishing more data centers to provide services to users. However, it is essential to provide cost-effective execution of tasks and proper utilization of resources. **Several techniques have been reported in the literature to improve performance and resource use based on load balancing**, task scheduling, resource management, quality of service, and workload management. Load balancing in the cloud allows data centers to avoid overloading/underloading in virtual machines, which itself is a challenge in the field of cloud computing. Therefore, it becomes a necessity for developers and researchers to design and implement a suitable load balancer for parallel and distributed cloud environments. **This survey presents a state-of-the-art review of issues and challenges associated with existing load-balancing techniques** for researchers to develop more effective algorithms.

CCS Concepts: • **Computing methodologies** → *Distributed algorithms; Concurrent algorithms;*

Additional Key Words and Phrases: Load balancing, cloud computing, resource allocation, task scheduling, virtual machine, workload management, optimization

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## 1 INTRODUCTION

With the rapid growth in Information Technology (IT), cloud computing has emerged as the substitute for traditional computing technologies for providing services to clients at any time and any location on a pay-per-use basis (Brown 2017; Buyya et al. 2010), which enables users to access a pool of configurable computing resources (servers, storage, networks, applications). Several companies (e.g., Amazon Web Services (AWS), Microsoft Azure, Google, IBM cloud, Rackspace, Red Hat, Verizon cloud, VMware) (Technavio 2018), also called cloud computing providers, offer these cloud services to users. The primary aim of the cloud is to use the distributed resources

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effectively in order to attain high throughput and performance. It enables the cloud to resolve the problems that require high computing power. It also allows distribution of the resources all around the world to execute the tasks at different data centers to provide faster services to clients (Dasgupta et al. 2013). Along with cloud computing distribution systems (Milani and Navimipour 2016; Navimipour 2015), many other distribution systems are available, such as grid computing (Khanli et al. 2008) and peer-to-peer computing (Navimipour and Milani 2015), which allow resource sharing and data transfer facilities. This provides business opportunities to both cloud service providers to establish new data centers and cloud users to put their business on the cost-efficient cloud. **Cloud computing allows users to scale in or out virtual resources dynamically without human interaction** according to their computing requirements (Chiregi and Navimipour 2016).

Cloud computing can be categorized in two ways: based on location or services offered. Based on location, a cloud can be public, private, hybrid, or community. Public cloud services are available to anyone and the infrastructure is located on the premises of a service-providing company. Public clouds are most vulnerable to various attacks, but they are most cost-effective. A private cloud is exclusively available to a specific user or organization. It provides the highest security and control level to the user with higher cost. A hybrid cloud is a combination of public and private cloud that is used for different purposes based on organizational requirements. A community cloud consists of a common infrastructure used by many organizations that have shared data and management. Based on services, the cloud is mainly classified as Infrastructure as a Service (IaaS), Platform as a Service (PaaS), or Software as a Service (SaaS). In IaaS, the cloud offers elementary IT resources such as networking features, computers, more flexibility, and control over the computing resources. PaaS removes the need for organizations to handle basic infrastructure—typically, computer hardware and operating system—(OS) and allows you to focus on the deployment of applications. SaaS allows users to concentrate on use of particular software rather than thinking about how the infrastructure and services are managed. Along with these services, cloud computing provides Database as a Service (DaaS) (Hacigumus et al. 2002), Expert as a service (EaaS) (Jafari et al. 2015), Storage as a Service (SaaS), Network as a Service (NaaS), Security as a Service (SECaaS) (Candrlic 2013), Communication as a Service (CaaS), Monitoring as a Service (MaaS), and Testing-as-a-service (TaaS) (Dhillon 2015), which are accessed by the user for different application purposes. Numerous cloud applications are available on different aspects of education, health monitoring (Punj and Kumar 2018), data analysis, and robotics. Users can access them without knowledge of technology required for their computing environment. According to Banerjee et al. (2015), the cloud also provides the flexibility and scalability to release and acquires the various configurable resources depending on application requirement.

As the cloud provides different services, it needs quality of service (QoS) monitoring to assess the offered services for fulfilling user demands and to maintain the service level agreement (SLA). During this process, the cloud may pose several issues and challenges, such as load balancing (Chen et al. 2017; Mohamed et al. 2013; Nuaimi et al. 2012; Pacini et al. 2015; Suresh et al. 2014), performance analysis and modeling (Garg et al. 2013; Ghosh et al. 2013; Li et al. 2012; Mauch et al. 2013; Miguel et al. 2015; Sousa et al. 2015), throughput and response time (Pacini et al. 2015), security and privacy issues (Khan et al. 2013; Khorshed et al. 2012; Lombardi and Di Pietro 2011; Subashini and Kavitha 2011; Zissis and Lekkas 2012), resource management (Jennings and Stadler 2015; Marinescu et al. 2017), and QoS. Currently, load balancing in the cloud (LBC) is one of the main challenges that allows avoiding the situation of overloading/underloading in virtual machines during task computation. Thus, there is a need to identify the issues that affect LBC and develop an effective load balancing technique for cloud environments.

The main objectives of this study are as follows:

- To study various load balancing techniques existing in the literature.
- To classify various load balancing techniques and overview the existing challenges and issues in load balancing.
- To outline the research areas to improve the load balancing techniques in future.

### 1.1 Need of Load Balancing

Load balancing provides the facility to distribute the workload equally on available resources. Its objective is to provide continuous service in case of failure of any service's component by provisioning and deprovisioning the application instances along with proper utilization of resources. In addition, load balancing aims to minimize the response time for tasks and improve resource utilization, which enhances system performance at lower cost. Load balancing also aims to provide scalability and flexibility for those applications whose size may increase in future and requires more resources as well as to provide priority to jobs that need instant execution as compared with another jobs. Other objectives of load balancing are reducing energy consumption and carbon emission, avoiding bottlenecks, resource provisioning, and fulfilling QoS requirements for improving load balancing. There is a need for proper workload mapping and load balancing techniques that consider different metrics.

### 1.2 Motivation

- Load balancing in the cloud is the process of equally distributing the workload on virtual machines for proper utilization of resources. In this survey, various load-balancing algorithms are accentuated based on different metrics.
- Load balancer helps in allocation of resources to the tasks fairly for resource utilization and user satisfaction at minimum cost, which motivates us to find issues in load balancing and to work on resolving them.
- Based on continuous demand and increasing workload in the field of cloud computing, we have recognized the need of load balancing among cloud resources. Therefore, on the basis of available research, the existing work has been identified and summarized in a systematic way that depicts issues and challenges for future research work.

### 1.3 Article Organization

**This article is organized as follows:** Section 2 discusses surveys done earlier on load balancing in the cloud. Section 3 describes the survey technique adopted to find available research papers, search criteria, the source of information, and quality assessment. Section 4 presents various challenges in load balancing. Section 5 discusses classification, metrics, and policies of load balancing. Section 6 contains the literature review of various load-balancing techniques and some simulation tools used to demonstrate these load-balancing and resource-scheduling tools. In Section 7, we present a discussion about the various mentioned techniques. Section 8 describes the issues and challenges faced by existing load-balancing techniques. We present our concluding remarks and state the future trends in Section 9.

## 2 RELATED SURVEYS

Global research communities are showing interest in designing and developing optimum resource utilization techniques as current research and reviews are drawing their attention to it. Load balancing in the cloud is a process of distributing the execution load on uncommitted virtual machines to increase system throughput. Minimization of response time and execution cost (Goyal

and Verma 2016) is needed to increase the success of cloud-computing environments. Along with load balancing, there are various challenges, such as resource scheduling, performance monitoring, QoS management, energy consumption, and service availability in the cloud (Kaur and Luthra 2012; Malladi 2015). Numerous research efforts have been made in cloud computing, load balancing, security, energy consumption, resource management, and the like. Load balancing and task scheduling are main concerns and need more research. We provide a comprehensive review of various types of scheduling, load balancing, and task-based load-balancing techniques of the cloud in this article. In this section, we review some articles that have significantly surveyed load-balancing techniques.

An extensive review on load balancing in the cloud was conducted by Ghomi et al. (2017), who split the review into seven different categories: Hadoop MapReduce load balancing techniques, Natural phenomena-based load balancing techniques, Agent-based load balancing techniques, General load balancing techniques, Application oriented load balancing techniques, Network-aware task scheduling and load balancing, Workflow specific scheduling algorithms They discussed various issues and challenges in existing load-balancing techniques along with future directions. They have concentrated mainly on Hadoop MapReduce and energy efficiency, which concern cloud load balancing; still, **their work lacks in task-based** and cluster-based load balancing, which are also important issues for executing the tasks on available resources. Thus, there is a need to identify the issues in task-based and cluster-based load balancing in today's highly scalable and largely distributed computing environment.

Milani and Jafari (2016) reviewed various existing load-balancing schemes and classified them into dynamic and hybrid subdomains. They described the behavior of these techniques based on different parameters, along with their advantages, disadvantages, and challenges. They stated the issues with these algorithms to develop more efficient algorithms for minimizing resource consumption and power consumption and to make load-balancing techniques more effective. However, they did not discuss task-based load balancing, cluster-based load balancing, and energy consumption issues.

Singh et al. (2017) presented an extensive review on meta-heuristic-based task scheduling algorithms, whereas the review in Kalra and Singh (2015) was limited to meta-heuristic techniques for work-flow scheduling only. The authors Singh et al. (2017) had identified various issues related to task scheduling in the cloud and presented a comparative analysis for both dependent and independent tasks based on the meta-heuristic approach. In Singh et al. (2017) and Kalra and Singh (2015) most of the literature concentrates on **Genetic Algorithms**, Particle Swarm Optimization (PSO), **Ant Colony Optimization (ACO)** based scheduling techniques, and lacks coverage of task-based load balancing, which is also currently a primary issue in the cloud. Singh et al. (2017) also have not assessed other major issues such as carbon emission, cluster-based load balancing, and minimum resource consumption.

A review of automatic cloud resource management was conducted by Singh and Chana (2015), who presented the study based on six different perceptions—resource allocation, workload scheduling, monitoring, QoS requirement, design of application, self-management and discussed taxonomy based on different characteristics. They have concentrated on improving management and use of resources, while task execution time and response time are more important to provide QoS along with fulfilling the SLA.

A comparative analysis of most-used load-balancing techniques based on metrics (such as response time, migration time, scalability, and resource use) in a distributed environment, cluster system in distributed cloud computing environment is presented in Ivanisenko and Radivilova (2015). The authors have presented the features, advantages, and disadvantages of most-used

load-balancing algorithms but have missed the issues in existing techniques, challenges, and future trends.

An analysis of load-balancing algorithms based on user requirements specified in SLA for various cloud environments is presented by Katyal and Mishra (2014). The authors review these techniques based on different categories, including task dependencies, geographical distribution of nodes, and cloud environment. They discuss advantages, disadvantages, and challenges in existing techniques in the main categories but lack an evaluation based on various load-balancing parameters.

Based on the analysis, we observed that there is no comprehensive review about task scheduling and load-balancing techniques that presents the importance of these techniques with categorization and future issues. In this article, we developed a list of questions to select the most important research papers for review and categorized them to answer these questions.

### 3 SURVEY TECHNIQUE

In this article, we have followed various guidelines in different fields presented by Kitchenham (2004), Kitchenham et al. (2009), KUPIAINEN et al. (2015), Charband and Navimipour (2016), and Navimipour and Charband (2016) for undertaking a methodical survey and focused on cloud load-balancing related research. We formulated the review method, investigating the method, taking the results and exploring the challenges. In this section, we discuss the source of information for research articles, selection criteria, quality assessment, and evaluation of results. Table 1 contains our list of research questions to plan the survey on load balancing and to determine the current issues pertaining to load balancing. Figure 1 shows the seven-stage process used to search for and identify articles based on inclusion-exclusion criteria.

#### 3.1 Source of Information

We broadly searched for journal and conference research articles in Scopus, Web of Science, Google Scholar, books, and magazines as a source of data to extract relevant articles. The following databases has been used in our search:

- IEEE Xplore (<http://ieeexplore.ieee.org>)
- Springer (<https://link.springer.com>)
- ScienceDirect (<http://www.sciencedirect.com>)
- Google Scholar (<https://scholar.google.co.in>)
- Scopus (<https://www.scopus.com>)
- ACM Digital Library (<https://www.acm.org/digital-library>)
- Taylor & Francis (<https://www.taylorandfrancis.com>)

In Figure 2, we show the percentage of papers reviewed from different sources.

#### 3.2 Search Criteria

We defined the keywords to search in the abovementioned databases. The keywords “Load Balancing” and “cloud” were involved in the abstract of every search. It is a common method and time-consuming. We searched for different synonyms and related keywords that matched our results, including “task migration,” “resource utilization,” “distributed,” “VM migration,” “workload,” and “cluster.” We refined the query again to match the specific results and applied again on Title, Abstract of the research paper. We conducted the study between **January 2010 to January 2018**.

Table 1. Review Questions

No.	Research Questions	Motivation
1.	What is the significance of load balancing in the cloud?	Mainly, the aim is to identify various load-balancing studies/articles published over time and their importance with increasing use of the cloud. Numerous load-balancing techniques have been proposed so far, which need to be evaluated based on various load-balancing metrics. This survey also aims to identify the issues and challenges in existing load-balancing techniques to ensure QoS-based services. Here, we discuss various load-balancing techniques based on different categories.
2.	Why is load balancing required in the cloud?	
3.	Do the existing techniques fulfill load-balancing essentials metrics?	
4.	What is the current status of cloud load balancing?	
5.	What are the criteria to select a suitable service provider for the consumer?	
6.	What are the criteria to negotiate between cloud service providers and cloud consumers?	
7.	How does one minimize power consumption in cloud data centers and its impact on environment?	
8.	What are the new algorithms that need to be proposed to improve system performance?	
9.	Which resources and parameters are most important in cloud performance?	
10.	How does one manage the resources to overcome the problem of resource overloading and underloading?	
11.	How does one reduce response time and improve resource use?	
12.	What are the QoS requirements that the user expects to use the cloud?	
13.	How does one develop load-balancing techniques that fulfill the user's QoS requirements?	Various research papers need to be identified from different load-balancing categories to reveal vital research problems. With increasing demand for the cloud over time, it becomes essential to define load-balancing criteria and to develop techniques that handle large numbers of user requests effectively. Various questions discussed here will help in identification of future research areas.
14.	How does one validate the existing and developing load- balancing techniques through available tools?	
15.	How does one develop an architecture that fulfills the user's essential QoS need?	
16.	How is the SLA defined? What are the criteria to define SLA violations rules?	
17.	Which simulation tools are available for validation of load-balancing techniques?	It is useful to identify various cloud simulation tools that will help algorithm developers to validate load-balancing and resource-scheduling techniques.
18.	Which algorithm validation parameters are considered by these simulators?	

From the searched papers, we included the papers in our survey that meet the Quality Assessment Check-list (QAC) based on Kitchenham et al. (2009). It comprises papers from peer-reviewed journals, books, conferences, magazines, symposiums, white papers, and websites.

### 3.3 Quality Assessment

On searched articles, we applied a quality assessment process following inclusion and exclusion criteria as shown in Table 2. We discovered 1,024 articles based on basic keywords from different journals from various sources, including IEEE Xplore, Springer, Science Direct, and ACM. We excluded some articles based on titles that were not relevant to our study. After reading the abstract,



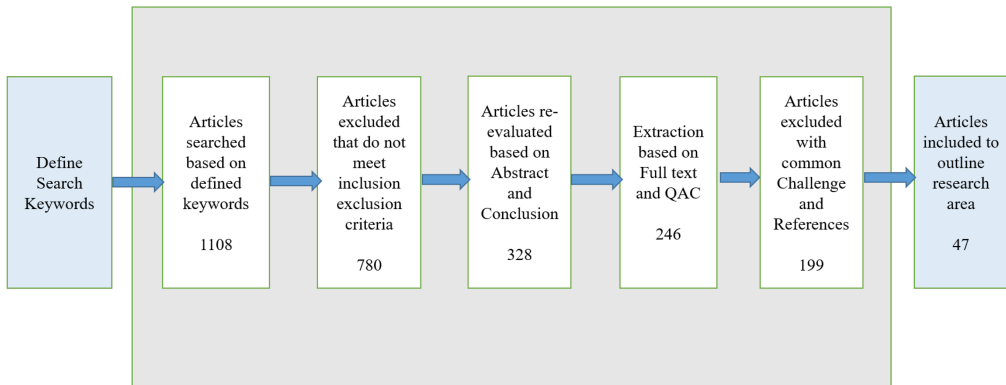


Fig. 1. Article identification process overview.

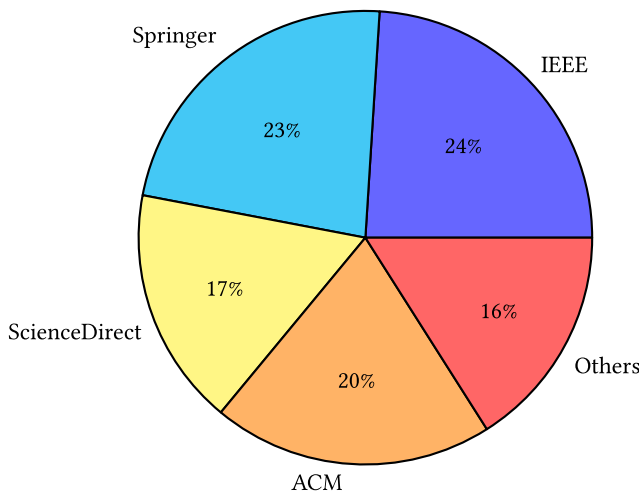


Fig. 2. Source of surveyed load balancing research papers.

we excluded some articles that did not meet our criteria. For the remaining papers, we reviewed the articles completely and found **47 research papers** that are included in our review.

#### 4 CHALLENGES IN LOAD BALANCING IN THE CLOUD

Cloud computing technology is becoming the target of more advanced research in the field of data and computation in terms of theoretical and practical aspects. However, cloud computing research is facing a lot of issues, load balancing being one of the prominent challenges that needs special attention. In addition, several other issues such as virtual machine (VM) migration, VM security, user QoS satisfaction, and resource use require equal attention in order to find the best possible solution for improving cloud resource use. A list of a few load-balancing issues are discussed below:

- (1) **Geographical Distributed Nodes:** In general, data centers in the cloud are geographically distributed for computing purposes. In these centers, spatially distributed nodes are treated as a single location system for efficient execution of user requests. Some load-balancing techniques are designed for a smaller area where they do not consider the factors such as network delay, communication delay, distance between the distributed

Table 2. Paper Inclusion-Exclusion Criteria

Criteria	
<b>Inclusion</b>	<ol style="list-style-type: none"> <li>1. Clearly describes load balancing technique from service provisioning prospective.</li> <li>2. Published in cloud computing field.</li> <li>3. Peer-reviewed and written in English language.</li> <li>4. Published in reputable journals, conferences, and magazines.</li> <li>5. Written by academic or industrial researchers.</li> </ol>
<b>Exclusion</b>	<ol style="list-style-type: none"> <li>1. Does not focus on load balancing in the cloud.</li> <li>2. Has common challenges and references.</li> </ol>

computing nodes, distance between user and resources, and so on. Nodes located at very distant locations are a challenge, as these algorithms are not suitable for this environment. Thus, designing load-balancing algorithms for distantly located nodes should be taken into account.

- (2) **Single Point of Failure:** Various dynamic load-balancing algorithms are designed where some techniques are non-distributed and the decisions for load balancing are made by the central node. If the central device crashes, then it will affect the overall computing environment. Thus, there is a need to develop some distributed algorithms in which a single node does not control the whole computing system.
- (3) **Virtual Machine Migration:** Virtualization allows creation of several VMs on a single physical machine. These VMs are independent in nature and have different configurations. If a physical machine gets overloaded, some VMs need to transfer to a distant location using a VM migration load-balancing approach.
- (4) **Heterogeneous Nodes:** During early research in cloud load balancing, researchers theorized about homogeneous nodes. In cloud computing, user requirements change dynamically which requires executing them on heterogeneous nodes for effective resource use and minimizing response time. Therefore, the invention of efficient load-balancing techniques for the heterogeneous environment is a challenge for researchers.
- (5) **Storage Management:** Cloud storage has resolved the problem of older traditional storage systems that required personnel management and a high cost of hardware. The cloud allows users to store data heterogeneously without any access problems (Wu et al. 2012). Cloud storage is increasing day by day, which requires storing a replication of data for efficient access and consistency of data. Full data replication schemes are not very efficient due to duplicate data storage policy on replication points. Partial replication can be sufficient but there can be an issue of dataset availability and it increases the complexity of load-balancing techniques. So, an efficient load-balancing technique needs to be developed that considers the distribution of application and related data based on a partial replication system.
- (6) **Load-Balancer Scalability :** On-demand availability and scalability of cloud services allow users to access services any time to scale down or scale up quickly. A good load balancer should consider quick changes in demands in terms of computing power, storage, system topology, and so on, to facilitate these changes efficiently (Ray and Sarkar 2012).
- (7) **Algorithm Complexity:** In cloud computing, algorithms should be simple and easy to implement. A complex algorithm will reduce the performance and efficiency of the cloud system.



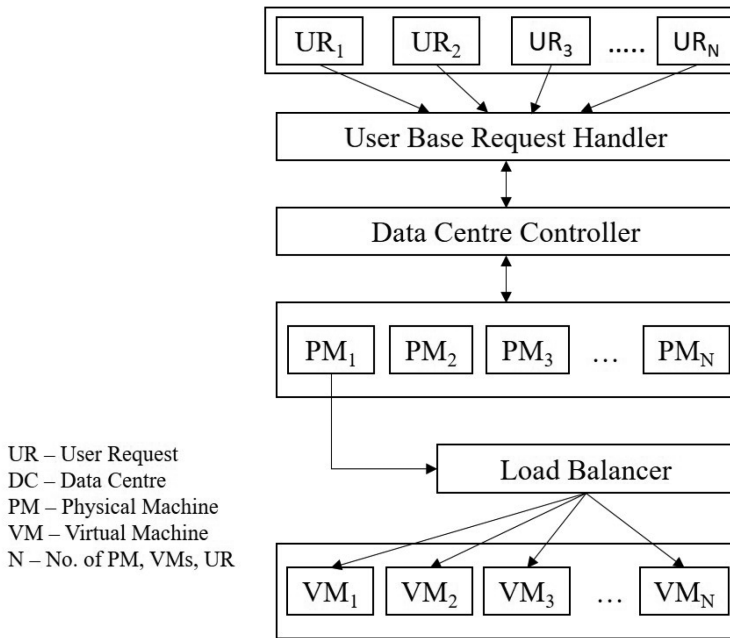


Fig. 3. Load balancing model.

## 5 LOAD BALANCING MODEL, CLASSIFICATION, METRICS AND POLICIES

The cloud provides on-demand access to a shared pool of resources (e.g., server, storage, and network (Zeng et al. 2015; Zhang et al. 2010)), which requires a great amount of control and management of the user's workload and resources. To manage user requests for the available resources, a good load balancer is needed to allocate the tasks to VMs based on their QoS requirements (Bhardwaj and RamaKrishna 2018). A model and workflow of the load balancer are shown in Figure 3. The cloud observes a high variation in user requests that require the dynamic environment to execute the tasks. When the cloud detects any request from the user base, a service broker on the cloud identifies the availability of resources and consults with other brokers about performance and cost of resources. After analyzing the available resources, the broker transfers the user request to the selected data center where the Data Center Controller (DCN) accepts them for further processing. The data center consists of physical resources/machines that take the requests and transfer them to the load balancer available on the server, which distributes the tasks to virtual machines (VM) to execute. During this process, the load balancer returns the availability of VMs from the state table and updates the state table after allocation. If no free VM is detected, the DCN puts the tasks in a queue and waits for resource availability. Once a VM finishes a task, the load balancer allocates it to another task for processing. The data center also has a VM manager that handles all of the VMs on physical servers. The load balancer is responsible for allocating a suitable VM to the tasks where task assignment is a critical issue in the cloud. The load balancer also ensures that VMs are not overloaded or underloaded. If some VMs are underloaded or free while others are overloaded, then the performance of the system and QoS will deteriorate, which may lead users to abandon the services. Virtualization technology helps to use the physical resources by sharing them among VMs. Hypervisors provide the facility of virtualization to create and manage the VMs in the cloud. A hypervisor also provides four important operations: provision, multiplexing, suspension, and live migration for load balancing (Hwang et al. 2013) of tasks and VMs. A

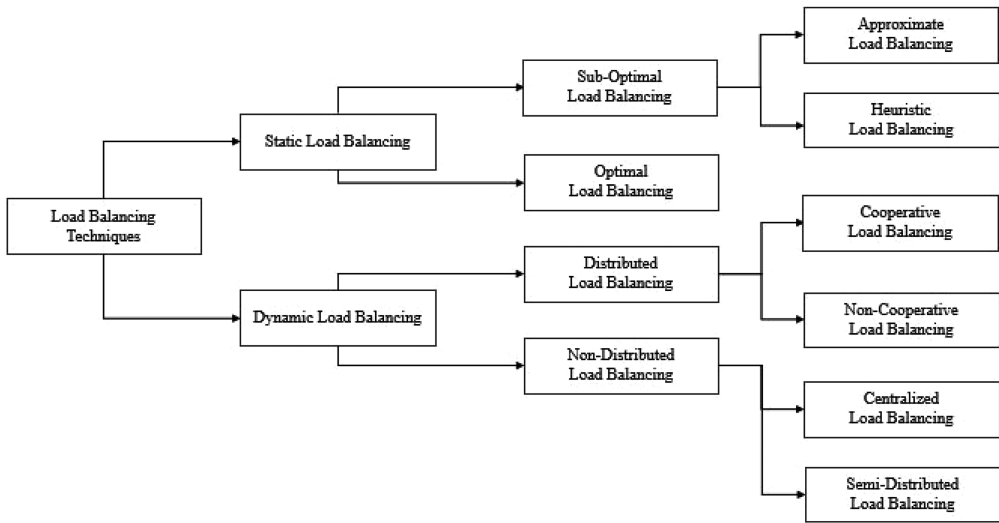


Fig. 4. Classification of load-balancing strategies based on system state.

load balancer mainly considers scheduling the tasks and allocating them to a perfect resource. A good load balancer will increase resource use and availability and minimize the response time for tasks.

### 5.1 Classification of Load Balancing Strategies based on System State

Load balancing techniques are primarily classified based on the state of the system and process initiation. Load-balancing approaches **based on system state** are further classified into static and dynamic categories, as shown in Figure 4. **Based on process initiation**, they are classified as sender initiated, receiver initiated, and symmetric, as detailed below.

- **Sender Initiated:** In this technique, if a node is overloaded, it looks for other nodes that are lightly loaded to share the workload. The sender initiates the process to find the underloaded nodes when nodes get congested.
- **Receiver Initiated:** In this technique, receiver or lightly loaded nodes look for heavily loaded nodes to share the workload.
- **Symmetric:** In this technique, both sender-initiated process and receiver-initiated process techniques are combined.

Based on state of the system, load-balancing techniques can be divided into following subcategories:

- **Static:** Static load-balancing techniques follow a fixed set of rules that are not dependent on the system's current state. Static algorithms are not flexible and require prior knowledge of resources, such as communication time, memory and storage capacity of nodes, processing power of nodes, and so on. This technique is simple and easy but generally unable to detect the attached servers, which leads to **uneven distribution of resources** (Chen et al. 2017). The main issue with this technique is that the current state of the system is not considered during decision making. Thus, it is unsuitable for distributed systems that change state dynamically. Static techniques work very well only if lower load fluctuation occurs in the nodes. Static load-balancing techniques are categorized as follows: 波動

- **Optimal:** In optimal techniques, the DCN collects information about resources and sends tasks to the load balancer, which does an optimal allocation in minimum time.
- **Suboptimal:** If the load balancer is not able to determine the optimal decision, it will calculate a suboptimal solution. A few static techniques are Min-Min, Max-Min, Round Robin, Shortest Job First, Two-phase Opportunistic Load Balancing (OLB), and Central Load Balancing for Virtual Machines.
- **Dynamic:** These techniques consider the current state of the system and make a decision on that basis. The main advantage of these techniques is that they allow transferring the tasks from an overloaded machine to an underloaded machine. Dynamic load balancing techniques are flexible, which leads to improvement in system performance. During processing, a dynamic technique takes the following steps. It continuously monitors the load of nodes. At a given time interval, it exchanges load and state information between nodes to calculate the nodes' workload and redistributes the workload between nodes. If a node gets overloaded, it transfers the load to an underloaded node. Some load-balancing techniques are Agent-based Load Balancing (Singh et al. 2015), Honey Bee Behavior Inspired Load Balancing (Babu and Krishna 2013), Ant Colony Optimization (Nishant et al. 2012), and Throttled (Domanal and Reddy 2013). Further dynamic load-balancing techniques can be categorized as follows:
  - **Distributed:** In distributed techniques, all nodes participate in load distribution, such as task scheduling or resource allocation (Cosenza et al. 2011; Shi et al. 2011). All nodes maintain an information base for communication to distribute and redistribute the tasks efficiently. Distributed algorithms can be in cooperative or non-cooperative form to interact with each other. If all nodes in the system work together to achieve a common goal or decision making, then it is called cooperative; otherwise, it is non-cooperative.
  - **Non-distributed:** In non-distributed techniques, a single node or some nodes make the decision for load distribution (Ahmad and Ghafoor 1991; Das et al. 2003). Non-distributed techniques can be centralized or semi-distributed in behavior. In centralized techniques, a single node performs all load distribution activities and is responsible for load balancing. Fault tolerance is an issue with centralized techniques and, in the case of single-node failure, node information can be lost and may not be recovered. In the semi-distributed technique, clusters are formed in nodes and each cluster works as a centralized technique.

## 5.2 Load-Balancing Metrics

To attain better resource use and improve performance, a load balancer becomes compulsory to disperse the computation load to available resources. Several load balancing techniques and various metrics to apply to these techniques are proposed by various researchers for higher user satisfaction and resource use. To increase overall system performance, researchers need to ensure that all parameters are fulfilled optimally. These metrics have been discussed by Chen et al. (2017), Daraghmi and Yuan (2015), Abdulhamid et al. (2014), Kansal and Chana (2012), Randles et al. (2010), Babu and Krishna (2013), Voorsluys et al. (2011), and Ramezani et al. (2014), as follows:

- **Performance:** System effectiveness must be validated after implementing the technique as compared with other existing techniques for load balancing. This is "turn around time".
- **Response Time:** The total time taken to complete a submitted request on the system.
- **Throughput:** The total amount of submitted tasks or processes completed in a unit of time on a system. The higher the throughput, the better the system performance.
- **Scalability:** The capability of the system to accomplish uniform load balancing when the required number of nodes increases.

- **Fault Tolerance:** The capability of the load-balancing technique to perform uniformly in the case of breakdown of any link or node.
- **Migration Time:** Migration time is used to figure out the total time taken to transmit a request/task to an underloaded machine from an overloaded machine. The lower the migration time, the better the performance of the cloud system.
- **Resource Use:** This is evaluated to ensure that all resources are properly used in the cloud system. Higher resource use will lead to minimizing overall cost and to reduced energy expenditure and carbon release rate in the cloud system.
- **Degree of Imbalance:** This describes the variation between VMs.
- **Makespan:** It is used to represent the total time or completion time taken to allocate resources to the users.

### 5.3 Load-Balancing Policies

As discussed above in classification, several techniques are divided into various categories, such as static and dynamic for the state of the system. Dynamic algorithms use state of the system and some policies to execute the tasks (Alakeel 2010; Daraghmi and Yuan 2015; Eager et al. 1986; Kanakala and Reddy 2015; Mukhopadhyay et al. 2010), as follows:

- **Selection Policy:** This policy identifies the tasks that should be transferred from one node to another. It selects the tasks based on the amount of overhead required for migration, the number of non-local system calls, and the time required to execute the task.
- **Location Policy:** This policy determines the computing nodes that are underloaded or free and transfers tasks to them for processing. It selects the destination node after determining the availability of required services for task migration based on available approaches: Probing, Negotiation, and Random. In the random approach, the location policy selects the destination randomly and transfers the tasks. In the probing approach, a node probes other system nodes to select the destination. In the negotiation approach, nodes negotiate with each other for load balancing.
- **Transfer Policy:** This policy discovers the circumstances in which tasks are required to transfer from a local node to another local/remote node. It consists of two approaches—all current tasks and **last received task**—to identify the tasks to be transferred. In the last received approach, all incoming tasks enter the transfer policy and the task that arrives last will be transferred. Under **all current task** approaches, transfer policy based on a rule decides that a task needs to transfer (task migration) or processes it locally (task reschedule) and it depends on each node's workload.
- **Information Policy:** This is another policy of dynamic load balancing that keeps all resource information in the system, which is further used by other policies to make their decisions. It decides the time for information collection. Various methods for information collection from the nodes are Agent, Broadcasting, and Centralized polling. In the broadcasting method, all of the nodes broadcast their information, which is accessible by other nodes. The Agent method is currently used by nodes to collect information. Various information policies are the Demand-driven policy, Periodic policy and State Change-driven policy.

All of these dynamic load-balancing policies have some relation where the tasks entering into a system are initially processed by transfer policy. After processing, a policy decides whether the tasks should be transferred to a remote node or not. For the tasks that require transferring, location policy will identify the destination node that is idle or underloaded. If a remote node is not available for execution, the task will be put into a queue for processing locally. Both transfer

policy and location policy collect required information from the information policy to make the decision.

## 6 REVIEW OF EXISTING LOAD-BALANCING TECHNIQUES

We surveyed the literature on existing load-balancing techniques and thoroughly reviewed the selected papers. Load-balancing techniques are categorized mainly into static and dynamic based on the state of the system.

Here, we discuss existing load-balancing techniques:

### 6.1 Static Load-Balancing Techniques

RFC 2782 belongs to this.

Static load-balancing techniques do not need knowledge of the current state of a system; they keep only knowledge of system resources such as execution time, memory, storage capacity, and processing power of nodes in advance. Static load balancing does not allow allocation of resources at execution time. These techniques are easy to implement and execute but are useful for smaller system or networks with a smaller number of resources. Because they do not consider the current state of the system, these techniques are not useful for computing systems performing distributed computing. They also do not allow detection of the connected server machine at execution time, which leads to uneven distribution of resources. Some static load-balancing techniques are Round Robin, Min-Min, Max-Min, Throttled, and First-In-First-Out. Some of the static techniques are discussed below.

The dynamic-exchange algorithm for load balancing allows distribution of a finite load on available machines (Houle et al. 2002). Houle et al. (2002) consider static load balancing with fixed load and synchronous communication on processors. It distributed the tasks in unit-sized jobs (called token) in a single-port single-token model using local computation only. It did not allow changing the load before the load was redistributed. It was not useful for dynamically changing loads and processors executing in a distributed environment.

A static load-balancing technique keeps all task-related information available prior to minimizing the waiting time of tasks (Kokilavani et al. 2011). It takes the list of all incoming tasks and determines their execution time. A task with minimum execution time will be executed first and the task with maximum execution time will be processed last. The shortest jobs will be executed first. However, the system may face starvation for some of the tasks, as they require more processing time because they are not in the queue for execution. Static task-based load balancing allows tasks to execute in round-robin manner (Pasha et al. 2014). Each task executes for a time slice and is put in the queue again to execute another process. A load-balancing technique is followed until all processes complete their tasks. This technique is useful for web servers where all requests arriving are of the same type. However, it is not good for the cloud environment, where a process arrives with a different configuration.

### 6.2 Dynamic Load-Balancing Techniques

As per the discussion in Section 6.1, static techniques do not need knowledge of the current state of the system because these techniques are unsuitable for distributed computing systems that change state dynamically and need the current state of resources at a time interval. Thus, we require dynamic load-balancing techniques that are suitable for the cloud environment. In this section, we discuss various dynamic load-balancing techniques that depend on load balancer criteria. Based on our observation, we have categorized them as follows:

- General Load Balancing
- Natural Phenomena-Based Load Balancing
- Hybrid Load Balancing

- Agent-Based Load Balancing
- Task-Based Load Balancing
- Cluster-Based Load Balancing

*6.2.1 General Load-Balancing Techniques.* Here, we discuss various general techniques, such as VM migration and load estimation-based algorithms depending on various load-balancing parameters.

Alakeel (2010) has produced a guide to the issues that need to be considered while developing or studying load-balancing algorithms. The author discusses different components of dynamic load balancing, such as information, transfer, and location strategies. The author covers the following issues: load evaluation, load levels comparison, a performance indicator, stability, and amount of information exchange among the nodes. Also, the author discusses the limitation of a cloud load-balancing algorithm, concluding that the current load balancing policies do not keep information about the previous state of the VM while assigning the job to VM. Load-balancing algorithms require running each time a new request comes to a data center for allocation. The author also implemented a round-robin algorithm in Java language using the CloudSim toolkit.

An algorithm to achieve two main goals—avoidance of overloading in the cloud environment and green computing by optimizing used servers—has been proposed by Xiao et al. (2013). The authors introduce the concept of **skewness** to assess use of servers and improved overall use of resources in the multidimensional constraint. They also propose a load prediction algorithm that helps in identification of future resource consumption by the application. When overall resource use is below the green-computing threshold, it automatically evokes the green-computing algorithm to shut down some of the underutilized servers. The authors have evaluated the algorithm using trace-driven simulation based on various data traces collected from different sources. Their results state that the algorithm has improved overall resource use and minimized power consumption but it can lead to overloading for a few of the resources.

A deadline-constrained scheduling algorithm for aperiodic tasks in an inter-cloud environment has been proposed by Pop et al. (2015). The authors have suggested a method that identifies the number of data resources required to process a set of tasks that are aperiodic in nature. It considers deadline or execution time and data transfer cost as the main constraint for aperiodic task scheduling. From the big-data perspective, the authors have challenged traditional scheduling algorithms for supercomputing and, based on a mathematical model, proved that a set of tasks that are from different sources can be considered as a single one. The authors have also proven that **task migration in regional centers is the best solution when a required number of resources are more than a data center's capacity** and a higher number of resources are required for a problem in a heterogeneous environment. The results will be helpful in optimizing resource use in data centers.

Wang et al. (2015) propose a framework design to balance the workload on a cloud storage system named as Swift. The authors state that the designed framework was able to identify the nodes that were overloaded and underloaded in the cluster. They had designed a separate algorithm for load balancing and resource allocation to distribute the tasks on VMs that also helps in managing the resources properly. The framework resides on the user side and did not require any changes in user OS and storage systems. It works in the scope of regulation and for a smaller number of nodes only. However, it did not work if the number of nodes was high with several scopes and for different storage systems.

**A load-balancing technique is required to estimate the finish time** for tasks that considers current processing power and job size on a VM. Chien et al. (2016) present two factors for finish-time estimation: (1) a selected VM should complete the work aforesaid and (2) a load-balancing algorithm has to estimate the finish time for the queued job and for the jobs that are coming next

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into the queue. It will select the VM for job distribution that responds earlier and allocate the task to execute. The results stated that it improves response time and processing time but it leads to increased power consumption and carbon emission.

Bala and Chana (2016) state that to manage load on a cloud proactively during execution, it should be predicted on nodes using a machine-learning approach. They propose a load-prediction model to identify overloaded and underloaded machines to implement proactive load balancing using multiple resource parameters. The authors implemented the model with Random Forest, a machine-learning approach in the **Cloudsim** tool environment, by considering different resource use parameters to enhance the load balancing in VMs. The proposed model was tested in a simulated environment only; it could be tested in a real-time environment in the future. Also, the model could be enhanced by incorporating fault-tolerance techniques into the proposed prediction model.

Neglia et al. (2016) propose a mean field technique based on load balancing among micro-data centers powered by renewable energy. The technique gives priority to data centers for task execution that are powered by renewable sources. Mean field technique in the proposed model helps to infer various performance measures.

Load-balancing techniques serve to minimize the response time for user requests and assign them uniformly to available resources. Ghoneem and Kulkarni (2017) have modified an active VM load-balancing technique available on a cloud analyst simulator. The existing VM load-balancing technique allocates requests unequally during peak time, which leads to higher response time for users. They used a reservation table along with an allocation table to maintain information regarding VM reservations for the requests. The data center takes into account this information provided by the load balancer and allocates a VM to the upcoming user request. Results state that the proposed technique provides minimum response time to user requests even during heavy traffic.

Chen et al. (2017) present a novel load-balancing approach over a static load-balancing approach mainly considering server processing power and computer loading to minimize the load over servers. They describe the various load-balancing metrics used in then existing algorithms and compare with the proposed algorithm. However, **they do not consider the migration time and response time for the tasks**, which could be improved with the existing parameters to improve the load balancing of the algorithm. We have thoroughly analyzed and summarized general load-balancing techniques in Table 3.

6.2.2 **Natural Phenomena—Inspired Load-Balancing Techniques.** Here, some natural phenomena-inspired load-balancing techniques—such as Genetic Algorithm, Honey Bee Inspired, ACO, and PSO—are summarized in Table 4.

A honey bee behavior-inspired load-balancing technique presented by Babu and Krishna (2013) facilitates transfer of a task for execution from overloaded machines to underloaded machines. It also balances priority for a task to be executed on a machine that leads to minimal waiting time for tasks. It works on the concept of honey bees (i.e., tasks) which make a call to other honey bees for the food source (i.e., underloaded machines). It makes priority for tasks the main QoS parameter. The proposed algorithm works for independent tasks that are executing on VMs, but it does not work for dependent tasks. The algorithm can be modified to work for dependent tasks. Other parameters that can be included are execution time and response time.

De Falco et al. (2015a) propose an extremal optimization (EO)-based technique for load balancing of parallel executing user requests in a dynamic environment. A set population-based parallel EO method considers factors such as selection of fitness function and target nodes, which give solutions for dynamic methods. During simulation, the results were compared with the results of sequential EO-based algorithms (De Falco et al. 2014, 2015b). The variant PEO-GS-D based on a

Table 3. Summary of General Load-Balancing Techniques

References	System State	Technique	Concept	Pros	Cons
(Wang et al. 2015)	Dynamic	Workload balancing and resource management framework for Swift Storage	Live VM Migration	Low task execution	Homogeneous VM, Independent task
Chien et al. (2016)	Dynamic	Using estimation method of job finish time	Load balancing VMs using end of service time	Reduced response time, reduced processing time	Actual instant processing power calculation is difficult, more power consumption
Bala and Chana (2016)	Dynamic	Predictive load-balancing approach using machine learning	Identifying overloaded and underloaded machine using machine learning	High resource use, low migration overhead, reduced number of migrations	Not tested on a real cloud
Ghoneem and Kulkarni (2017)	Dynamic	Modified active VM load-balancing technique	Use of reservation table for uniform allocation of requests	Minimum response time for tasks, load balancing among VMs, improves elasticity	Allocates tasks uniformly on single data center
Chen et al. (2017)	Dynamic	Novel load-balancing approach for minimizing load on servers	Dynamic annexed method over static load balancing	Improved resource use, makespan, and QoS	Higher response time and few load-balancing parameters considered

Table 4. Natural Phenomena-Inspired Load-Balancing Techniques

References	System State	Technique	Concept	Pros	Cons
Babu and Krishna (2013)	Dynamic	Honey bee behavior-inspired load balancing	Use of foraging behavior of bees	Low makespan and response time	Does not work for dependent tasks
De Falco et al. (2015a)	Dynamic	Extremal optimization-based load balancing	Parallel task execution in dynamic environment	Lower execution time, lower number of task transfers, higher resource use	Does not support graph optimization and multi-objective optimization
Babu and Samuel (2016)	Dynamic	Enhanced bee colony-based load balancing	Use of honey bee technique to minimize resource consumption and response time	Low response time, high resource use, lower number of task migrations	Low scalability, complexity
(Devi and Uthariaraj 2016)	Dynamic	Weighted round-robin technique	Decreasing response time of tasks while considering execution time	Low response time	Homogeneous environment execution

solution of parallel branches gives the best result with an average case. This algorithm does not work for application graph optimization and multi-objective optimization.

Load-balancing techniques are required for improving resource use, minimizing response time and completion time for tasks on the cloud. Babu and Samuel (2016) propose a technique considering response time, QoS, and number of migrations as load-balancing parameters. They consider the tasks to be honey bees and underutilized VMs to be the food source. When a VM was overloaded, then some of the tasks (tasks with low priority) were migrated from one virtual machine to another. The algorithm could be enhanced with other nature-inspired algorithms, such as PSO and ACO.

Devi and Uthariaraj (2016) present an improved weighted round-robin algorithm for improving the response time of task execution by considering the task size, capacity of the VM, and interdisciplinary nature of multiple tasks. The algorithm considers the current load of all VMs and completion time of executing tasks and identifies the machine with the least completion time. It runs a load balancer after completion of each task to distribute the load among the nodes. The proposed algorithm does not execute in a heterogeneous environment, which could be improved by adding machines from different environments to achieve consistent results. We analyze natural phenomena-inspired load-balancing techniques in Table 4.

**6.2.3 Hybrid Load-Balancing Techniques.** Hybrid load-balancing techniques are developed to overcome drawbacks of static and dynamic techniques by preserving their features and advantages. Hybrid techniques minimize response time and provide efficient resource use. We summarize hybrid load-balancing techniques in Table 5.

Wang et al. (2013) present a resource scheduling technique for the hybrid cloud. They consider various parameters, such as execution time, finish time, and utilization rate of public and private clouds. Based on these parameters, they propose Adaptive Scheduling with OoS Satisfaction (AsQ) to allocate resources optimally on a private cloud. They use various runtime estimation and scheduling algorithms to identify optimal resource allocation in a private cloud. Using this process, they have reduced the tasks to be transferred to the public cloud for execution. For tasks that need to be transferred, a minimum cost strategy is used to minimize the rate of tasks transferred to the public cloud. They have performed many experiments and the results show that execution time and finish time of tasks are minimized as compared with many existing techniques. Their techniques have better QoS. However, the technique has not considered other important parameters, such as energy efficiency and operational cost, which are needed to revise the model for transferring tasks to the public cloud.

Arab and Sharifi (2014) have developed a communication model for load balancing and resource discovery units. Each node is equipped with load-balancing and resource-discovery units separately. Load-balancing units exchange messages with the same unit in other nodes and get enough information about available resources. This information helps resource-discovery units to make decisions more accurately. According to the proposed model, the load balancer is responsible for extracting the processor's status using various techniques described by Dodonov and De Mello (2010). It provides scalability and lower response time but it leads to increased resource discovery time.

A hybrid load-balancing scheme consisting of on-demand scheduling, Querying and Migrating Task (QMT) and Staged Task Migration (STM) helps to manage the load on nodes more effectively (Liu et al. 2015). Whenever a node detects a heavy load, QMT will balance the load by transferring the last incoming node to another node with a low load. Both QMT and SMT work well for dependent and independent tasks, but they suffer from high transmission and scheduling time that can be minimized in future.

Table 5. Summary of Hybrid Load-Balancing Techniques

References	System State	Technique	Concept	Pros	Cons
Wang et al. (2013)	Dynamic	Adaptive scheduling technique for parallel tasks	Minimum cost strategy used for task transfer to improve resource use	Higher resource use, minimized task migration, better QoS	Important parameters such as operational cost, energy efficiency are not considered.
Arab and Sharifi (2014)	Dynamic	Resource discovery and load-balancing combination	Increase scalability by communication between the resource and load balancing.	Highly scalable, No other node information required	High resource discovery time, propagation of policies
Cho et al. (2015)	Dynamic	ACO combined with PSO	Combined ACO with PSO to improve resource use	Increased resource use, low computation time	High computation cost, homogeneous server support
Liu et al. (2015)	Dynamic	Hybrid load balancing and task scheduling	Balancing load on slave nodes using hybrid load balancing based on master node	Independent and dependent task scheduling, lower response time	High transmission and scheduling time
Naha and Othman (2016)	Dynamic	Combination of broker and load-balancing techniques	Combining load-balancing and broker technique to reduce response time	Low processing time, low response time	Low performance, high execution time
(Chen et al. 2017)	Dynamic	Cloud load-balancing (CLB) technique	Architecture to overcome server response failure and load balancer to monitor priority, computing power	Highly scalable	High response time

Cho et al. (2015) present a hybrid load-balancing technique by incorporating the features of PSO with ACO. Every time a request arrives, it checks the load on each server and identifies the maximum available memory. **The proposed technique predicts the upcoming load based on the history of loads on server**, which leads to higher computation cost in the case of memory and time due to regular analysis of available memory on servers. **Based on availability of memory and size of task, it accepts or rejects the incoming request before scheduling**. As a result, accepted requests take turn executing, which keeps all servers busy. It leads to improved resource use and computation time of tasks. This technique conforms the dynamic environment for execution by predicting the workload for coming requests based on historical information about tasks. A pre-reject step rejects the requests that do not fulfill prescheduling criteria, which minimizes computation time. The authors state that the proposed technique is much faster than the traditional ACO technique but that it works only on homogeneous servers and does not consider the cost of client and service providers.

Naha and Othman (2016) have implemented a hybrid algorithm to optimize system performance by combining round-robin and throttled load-balancing techniques with performance-optimized service broker and service proximity broker algorithms. They have proposed three service-broker algorithms and one load-balancing algorithm. The authors have described them as Load

Table 6. Summary of Agent Based Load Balancing Techniques

References	System State	Technique	Concept	Pros	Cons
Chen et al. (2013)	Dynamic	Novel emergent task allocation in the cloud	Emergent task allocation in the cloud using fair competition and dynamic adjustment principle	Efficient resource allocation	Higher processing time, higher transmission time
Tasquier (2015)	Dynamic	Multiagent-based load balancing	Using multiple agents for resource provisioning and monitoring in multi-cloud environment	Provides extreme elasticity facility, uses multi-cloud resources	Not implemented, QoS is not considered
Garcia and Nafarrate (2015)	Dynamic	Agent-based load balancing	VM migration using agent	Heterogeneous VM and server	high migration overhead, low scalability
Keshvadi and Faghieh (2016)	Dynamic	Multiagent-based load-balancing architecture	Maximizing resource use with multiple agents	Low response time, improved makespan, improved resource use	DcM agents require parent message to destroy themselves, no timer for self-destroying

Aware (LA) and Cost Aware (CA) algorithms for higher resource use. The LA algorithm provides lower processing time but generates a higher cost while the CA algorithm lowers the cost. The service-broker algorithm selects the server according to user requirements, which may lead to an increase in either processing time or cost. When CA user requests com on the server, they keep the high-speed (high-cost) servers idle, which lowers resource use and increases computation time for user requests. A service proximity broker algorithm selects the data center nearest to the client’s region. The throttled load balancer maintains a table for all available VMs. The authors have combined all of the mentioned service-broker and load-balancing algorithms in the pair to serve user requests; the results state that processing time and response time are reduced. However, it takes more execution time for requests and the system performance also needs improvement.

Development of an effective Cloud Load Balancing (CLB) architecture is required to overcome response failure from the server in the case of more user requests. Chen et al. (2017) have developed architecture that considers computer loading and server processing to minimize the problem of server issues to handle more computation requests. They also present a load-balancing technique for the physical and virtual web server to keep information about server loading, priority, and computing power. The architecture provides highly scalable performance, but it leads to increased response time. We have thoroughly summarized hybrid load-balancing techniques in Table 5.

6.2.4 *Agent-Based Load-Balancing Techniques.* Here, we survey various agent-based load-balancing techniques. **The agent works for cloud resource discovery, negotiation, composition, and management.** The agent works automatically and continuously to satisfy the design objective. Multiple agents can work together to satisfy user QoS requirements and resource use. In Table 6, we summarize these techniques.

Chen et al. (2013) have developed an emergent task allocation technique for the cloud. To achieve load balancing, the authors employ the fair competitive principle and dynamic adjustment principle to accommodate arriving tasks. The technique uses a roulette wheel mechanism in which the bidder participates in the bidding process to get the task to allocate to a resource and uses a buffer

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pool mechanism to strengthen the performance of the approach. Experimental results show that the techniques allocate resources efficiently but increase the processing and transmission times.

Application aware, multiagent-based load-balancing architecture facilitates provisioning of resources automatically. Tasquier (2015) states that the developed architecture uses three different agents—executor agent, provisioner agent, and monitor agent—which are responsible for representation of running applications, scaling in and out of resources, and monitoring of overload/underload conditions of resources, respectively. The proposed algorithm also provides the facility to review the current state of cloud and resource elasticity. **However, the author has not implemented the algorithm in the cloud environment to test validity** and does not consider QoS.

Garcia and Nafarrate (2015) present a collaborative agent-based load-balancing technique that handles the load across heterogeneous servers using a live VM migration concept. They also propose an agent-based load-balancing mechanism that consists of a program that identifies the VMs that need to be migrated along with the destination, strategies for VM migration, acceptance policies for VMs, and a load-balancing program to select the initial host for VMs. The authors state that the algorithm performs better in load balancing as comparing with centralized techniques that improved response time for the tasks as well as resource use, but it increases the migration overhead.

Multiagent-based load-balancing architecture helps in maximizing resource use (Keshvadi and Faghieh 2016). It executes both sender-originated and receiver-originated techniques to reduce a task's waiting time and to ensure SLA. The model consists of the following agents: the Virtual Machine Monitor Agent (VMM Agent), Datacenter Monitor Agent (DcM Agent) and Negotiator Ant Agent (NA Agent). The VMM agent supports all VMs in the system and keeps information on memory, CPU, and bandwidth use by VMs to monitor load. The DcM agent performs Information Policy using information available from the VMM agent and categorizes VMs depending on different characteristics. It also initiates NA agents, which move to other data centers to know the status of VMs available there. The simulation results state that it is more efficient and improves response time and makespan. We analyze agent-based load-balancing techniques in Table 6.

*6.2.5 Task-Based Load-Balancing Algorithms.* Here, we discuss the literature on task-based load-balancing techniques. Most of the existing load-balancing schemes prefer to migrate VMs by transferring an overloaded VM from a physical machine to another physical machine. It enables flexible resource allocation but requires more time and cost, as we need to transfer the whole VM machine rather than **transfer the tasks owing to which machines are getting overloaded**. Table 7 summarizes existing task-based load-balancing techniques.

Ramezani et al. (2014) have developed a Task-Based System Load-Balancing (TBSLB) approach. The approach is based on the PSO technique to reduce the migration cost of VMs. They have also developed an optimization model for transferring tasks. They evaluate the proposed model by extending CloudSim embedded with the Jswarm package (Calheiros et al. 2011). The proposed mechanism has benefits over then-existing load-balancing techniques in that it minimizes the downtime for VMs, memory use, and cost. They have worked on the parameters transfer time, memory downtime, and usage cost. Later, it can be improved for multi-objective PSO and for other load-balancing parameters.

Wu et al. (2016) have proposed a genetic and ant colony-based task-scheduling algorithm. They combine the features of both genetic and ACO techniques. A genetic algorithm searches for the available resources at an earlier stage and then the ant colony algorithm selects the optimal resource for the tasks. Individually, the genetic algorithm has more response time, lower efficiency, and redundancy. The ant colony algorithm also does not work well at resource search stage owing to a lack of pheromone. By combining features of both algorithms, the hybrid approach improves load balancing among VMs and increases the efficiency.





Table 7. Summary of Task-Based Load-Balancing Techniques

References	System State	Technique	Concept	Pros	Cons
Ramezani et al. (2014)	Dynamic	Task-based system load-balancing method using particle swarm optimization	Live VM migration	Low task execution	Homogeneous VM, independent task
Wu et al. (2016)	Dynamic	Genetic-ant colony hybrid algorithm for task scheduling	Combine features of genetic and ant colony optimization technique Genetic search for available resources and ant colony selects optimal solution for execution.	Improved load balancing, increased efficiency, minimized execution time	Not tested in actual cloud environment
Shen et al. (2016)	Dynamic	Considering network topology and transmission time in scheduling	Implementing task scheduling on MapReduce to minimize data transmission time and cost	Improved cluster use, minimized job completion time	No bandwidth reservation, model is not evaluated under different network condition
Elmougy et al. (2017)	Dynamic	A hybrid task scheduling algorithm based on shortest job and round robin with dynamic task quantum	Storing short and long tasks in separate ready queues and executing separately to minimize starvation	Starvation and waiting time for tasks minimized, improved response time and turnaround time	Task quantum is less effective
Xin et al. (2017)	Dynamic	Cost efficient multiple schedulers for parallel tasks	Multiple scheduler to execute parallel tasks and weighted machine allocation approach	Improved resource use, minimized task weighting and execution time	Parameter evaluation is not optimal

Shen et al. (2016) present a network-aware task placement technique for MapReduce to reduce job completion time, overall transmission time, and cost of data. They state that a task mainly faces the following problems: first, resource availability changes dynamically owing to release and access over time; second, data-fetching time for reduced tasks depends on size and location of tasks; and third, the load on the path also has a relevant effect on data access latency. To minimize data access latency, load over the path should be considered during scheduling decisions for the tasks. Results show that it has minimized completion time for tasks and increased resource use.

A multiple-scheduler architecture facilitates minimizing the problem of executing large-scale parallel tasks and their execution cost. Xin et al. (2017) propose a scheduling method to minimize resource competition between tasks and high device load, which is based on weighted random scheduling. The tasks will be assigned weights considering parameters such as execution time, cost, and communication delay. A machine with lower cost will get higher cost and have more chances to be assigned for task execution. The authors have experimented with MATHLAB2012b using Workflow generator to generate the required dataset. They have experimented on datasets that include the larger set of tasks in an interval with execution time, cost, and transmission delay. They have also considered task structure, task arrival time, device dependency, and specific device set test. The results show that multiple schedulers have improved parameters such as task

competition for devices and execution cost. However, it has not evaluated optimal value for the parameters, which can be further improved.

The Selecting VM with Least Load (SVLL) load-balancing model for task distribution has increased the performance of cloud systems (Aladwani 2017). The proposed model calculates the load of each VM and assigns the tasks for execution based on the load of the VM rather than the number of tasks assigned to the VM. Aladwani (2017) has implemented the SVLL technique with other task-scheduling techniques such as first-come-first-serve and shortest job first, which results in improved total waiting time and total finish time of tasks. The algorithm is implemented with the basic scheduling algorithms, which may give a better result as compared to the basic algorithms alone.

Elmougy et al. (2017) have developed a task load-balancing technique combining features of the shortest job first and round-robin scheduling techniques. It stores short and long tasks in two separate ready queues and uses dynamic task quantum to balance waiting time among the tasks. The authors have considered the issue of throughput and starvation together. They have evaluated the algorithm on the CloudSim simulator; the results show that turnaround time, waiting time, and response time are minimized. It has also minimized the long task starvation. However, the task quantum is not very effective in balancing among tasks that can be improved in the future to improve waiting time and the starvation problem. We analyze task-based load-balancing techniques in Table 7.

**6.2.6 Cluster-Based Load-Balancing Techniques.** In the heterogeneous cloud environment, various resources are placed at different data centers and are divided into different clusters based on parameters such as the performance of servers and storage capacity. In this section, we discuss some cluster-based techniques and summarize them in Table 8.

A prediction-based adaptive load-balancing technique helps in improving data center resource use. Mao et al. (2013) state that the proposed technique predicts the load in clusters and accordingly callback resources in a cluster if the workload is below a minimum threshold and add new VMs when the workload is above the threshold. The authors have implemented the algorithm on the Cloudsim simulator; the results show that it has improved resource use and has minimized response time for the tasks.

Daraghmi and Yuan (2015) propose a load-balancing mechanism for developed controllers to overcome the issue limitations of a centralized controller in mega data centers. It divides the whole network into many regions and allocates a controller in each region to reroute the flows through analyzing imbalanced load issues in developed controllers for traffic control and network management. In the proposed technique, when the imbalanced load occurs, it migrates a portion of the load to the controller to dynamically manage the load. The authors have developed multiple solutions for the LBDC technique, including greedy approaches and one distributed greedy approach to manage the traffic and compared the results with existing developed controllers.

Zhao et al. (2016) address a heuristic technique for load balancing based on Bayes and Clustering (LB-BC) to overcome the complexities of existing load-balancing approaches. The approach is based on Bayes' theorem (Agostini 1995; Pawlak 2001) and has achieved long-term load balancing. It calculates the posteriori probability of physical hosts and combines it with the clustering idea to pick an optimal host. It considers these parameters: number of requested tasks, standard deviation, and load-balancing effect. Later, the approach was compared with dynamic load balancing, which leads to minimum standard deviation with increased time. The proposed approach works in a local area only but can be enhanced for wide area networks and real-time environments.

Kang and Choo (2016) present a cluster-based job-dispatching technique to improve inter-cloud communication for load balancing in dynamic and real-time multimedia streaming. The proposed technique has a two-step process. First, it creates the cluster for monitoring activities, managing

Table 8. Summary of Cluster-Based Load-Balancing Techniques

References	System State	Technique	Concept	Pros	Cons
Daraghmi and Yuan (2015)	Dynamic	A small world-based overlay network for dynamic load balancing improvement	Greedy approach-based developed controller in decentralized mega data centers	Improved resource use, increased data center performance	High power consumption
Kang and Choo (2016)	Dynamic	Cluster-based job-dispatching technique	Improving large-scale inter-cloud communication for load balancing in dynamic and real-time multimedia streaming	Better response time	Packet loss owing to congestion
Zhao et al. (2016)	Dynamic	Load balancing based on Bayes and clustering (LB-BC)	Posteriori probability of physical hosts and combines it with the clustering concept	Minimum standard deviation and response time	Good for LAN only, not applicable for real-time environment
Han and Chronopoulos (2017)	Dynamic	Distributed model for self-scheduling techniques to improve load balancing	Class of distributed self-scheduling schemes to improve load balancing and scalability	Improved scalability, improved overall performance, reduced communication overhead	Parallel execution not supported

platform complexities, and meeting demands and satisfactory QoS for the hosts on the basis of a hello packet broadcast to all connected neighbor servers periodically. Second, it makes a decision to **transfer the job requests if the waiting time for the job is more than 5 tasks in the queue.** Performance of this technique is compared with ant colony, WCAP, and HFA along with the higher rate of job dispatching and it gives better response time. Further, this technique can be improved for the real-time environment where intermediate nodes get congested owing to resource limitations and to reduce data loss due to congestion using communication jobs rather than computation jobs.

Zegrari et al. (2016) present a cluster-based task load-balancing technique to overcome the problem of load distribution on the nodes. It combines the concept of genetic and KUHN algorithms and developed task allocation strategy by grouping tasks into clusters and distributing them in cooperating nodes. The proposed algorithm provides better response time and task distribution among the data center nodes.

Han and Chronopoulos (2017) have developed a hierarchical distributed model for self-scheduling schemes to improve load balancing and scalability of the cloud system. The model is able to execute both in homogeneous and heterogeneous environments. The authors have applied the schemes in a large-scale cluster using four different computation applications; results show improved scalability, improved overall performance, and reduced communication overhead. In future, the algorithm can be tested for large-scale clusters and loops with dependencies. We analyze cluster-based load-balancing techniques in Table 8.

**6.2.7 Load Balancing and Resource Scheduling Tools.** In this section, we discuss some of the available cloud simulators (Calheiros et al. 2011; Garg and Buyya 2011; Gupta et al. 2011; Jararweh

et al. 2013; Nunez et al. 2011; Ostermann et al. 2010; Wickremasinghe et al. 2010). A cloud simulator is a framework that provides a virtual environment to test performance, deployment models, resource allocation, and load balancing of resources when development of real cloud architecture for evaluation of these factors is not possible owing to the cost factor. Simulators play a major role in testing and validating load balancing, scheduling algorithms before deploying on real hardware. All simulators have some unique features and are used to evaluate different parameter performance. Table 9 shows the feature, availability, programming language used, strength, and weakness for available simulators.

## 7 DISCUSSION

We provide a comparative analysis of studied articles based on various metrics used by these techniques in the cloud computing environment shown in Table 10 and Figure 5. Table 10 shows how various research papers have considered different QoS metrics. After reviewing the various load-balancing techniques comprehensively, it can be stated that different techniques have considered different metrics for evaluation. Some of the papers have considered a single objective while some have considered multiple objectives for metrics. Figure 5 shows the percentage of load-balancing metrics considered by different articles. Figure 6 shows the evaluation tools used in different research papers.

We also have analyzed the various research papers based on simulations and results available. Table 10 and Figure 5 show that **most users have concentrated on response time** to the tasks coming up for execution, followed by resource use, makespan, and migration time. Researchers are focusing on minimization of response time to maintain the SLA and increasing the response time. Devi and Uthariaraj (2016), Babu and Krishna (2013), and De Falco et al. (2015a) have considered response time to be the main parameter for evaluation of algorithms.

## 8 RESEARCH TRENDS

In cloud load balancing, there are still many issues and challenges that need to be discussed and resolved in future. From the literature review, we have found some of the future directions where the cloud needs improvement. To maintain cloud performance some future directions need to be considered: Quality of Service (QoS), the Service Level Agreement, Resource Provisioning, and Load Balancing, among other issues. A number of resources are required of cloud service providers to maintain QoS and the SLA. SLAs are designed and deployed based on QoS rules and if there is any violation of the SLA then a service provider must pay a penalty. Automatic resource provisioning minimizes user and service provider interaction. **To maintain the SLA and QoS, load balancing is required for proper use of provisioned resources.** A load balancer helps to keep the amount of resources minimum with high throughput. Many load-balancing techniques have been developed that consider different metrics, such as performance, response time, execution time, task migration time, and resource use. No technique has considered all load-balancing parameters, which improves the overall performance of the data centers.

There are important open issues and challenges in cloud load balancing, as follows:

- Proper allocation of resources to a workload is required to improve cloud performance.
- Both functional requirements (SLAs) and non-functional requirements (QoS) should be maintained.
- Managing an instant occurrence of a large number of user requests along with managing the SLA for currently executing tasks.
- Maintaining the cost of application in the case of execution with different service providers and heterogeneous environments.

Table 9. Summary of Resource Load-Balancing and Scheduling Tools

Simulator	Description	Underlying Platform	Programming Language	Availability	Strength	Weakness
<b>CloudSim</b>	It is the most commonly used simulator. It provides a simulation environment to model a cloud or network of clouds. It provides the facility to implement load-balancing and scheduling algorithms by extending Java classes.	SimJava	Java	Open Source	Most commonly used simulator, supports large-scale problems	Packet level communication is not supported
<b>Cloud Analyst</b>	It extends the functionality of CloudSim and provides full GUI-based environment. It allows testing large applications with varying parameters.	CloudSim	Java	Open Source	Focuses on geographical factors, supports service-broker and load-balancing policies implementation	No full network model, fulfills specific purpose only
<b>Network CloudSim</b>	It is an extension of CloudSim. It supports cloud network modeling and resource allocation.	CloudSim	Java	Open Source	Supports full application model, message passing for communication	Packet-level networking not supported, less focus on network cost and power model
<b>Teach Cloud</b>	It is GUI-based extended model of CloudSim. Developed for academic purposes.	CloudSim	Java	Open Source	Simple and easy to use GUI-based tool for educational purposes	Supports basic features only
<b>CDOSim</b>	Cloud Deployment Simulator (CDOSim) is an extension of Cloudsim; helps in deploying optimized cloud according to user's requirement. It allows one to choose a cloud service provider, deployment policies, and resource configuration.	CloudSim	Java	Academic	Provides cloud deployment functionalities, helps to identify and migrate to another cloud	For large-scale and general-purpose cloud testing it is unproved
<b>GDCSim</b>	GDCSim (Green Data Center Simulator) is designed to evaluate energy-efficient techniques in a data center. GDCSim gives a better result as compared to existing simulators based on conditions such as scheduling algorithms, power distribution schemes, and workload distribution.	BlueSim	Java	Academic	Focuses on power saving and cooling methods, evaluates energy-efficient techniques in data center	Not proved for large-scale and general-purpose testing

(Continued)

Table 9. Continued.

Simulator	Description	Underlying Platform	Programming Language	Availability	Strength	Weakness
<b>iCanCloud</b>	It is a SimCan-based fully GUI simulator. It allows users to configure VMs, data center for different cloud scenarios. It also supports service brokering, storage models, and cost prediction in the data center.	OMNET	C++	Open Source	Fully GUI-based simulator, supports storage modeling, service brokering, live migration	No focus on energy consumption
<b>MDCSim</b>	Multi-tier Data Centre Simulator supports system evaluation and power consumption measurement.	CSIM	Java/ C++	Commercial	General purpose functionalities are supported, supports different configuration evaluations	No full network model, commercial
<b>Green Cloud</b>	It evaluates the scheduling algorithms for energy efficiency in the data center by calculating power consumption of servers, network switches, and other communication links.	CloudSim	Java	Open Source	Evaluates energy-efficient techniques in the data center	Not proved for general-purpose components



Table 10. Load-Balancing Metrics in Surveyed Techniques

No.	References	Response Time	Migration Time	Throughput	Makespan	Resource Use	Scalability	Fault Tolerance	Cost
1	Wang et al. (2013)	✓	✓	-	✓	✓	-	-	✓
2	Mao et al. (2013)	✓	-	✓	-	✓	-	-	-
3	Hsueh et al. (2014)	✓	-	-	-	-	-	-	-
4	Garcia and Nafarrate (2015)	✓	-	✓	-	-	-	-	-
5	Pacini et al. (2015)	✓	✓	✓	-	✓	-	-	-
6	Pop et al. (2015)	✓	-	-	-	✓	-	-	✓
7	Yakhchi et al. (2015)	-	✓	✓	-	✓	-	-	-
8	Domanal and Reddy (2013)	✓	-	-	✓	✓	✓	-	-
9	(Babu and Samuel 2016)	✓	-	-	-	-	-	-	✓
10	Keshvadi and Faghhi (2016)	✓	✓	✓	-	✓	-	-	-
11	Wu et al. (2016)	✓	-	-	✓	-	-	-	-
12	Kianpishheh et al. (2016)	-	-	-	✓	✓	-	-	-
13	Ghosh and Banerjee (2016)	✓	-	-	✓	-	-	-	-
14	Bok et al. (2016)	-	-	-	✓	-	-	-	-
15	Singh et al. (2016)	✓	✓	-	✓	✓	✓	-	-
16	Yang et al. (2016)	✓	-	-	✓	-	-	✓	-
17	Salehi et al. (2016)	-	✓	✓	✓	✓	-	-	-
18	Zegrari et al. (2016)	✓	-	-	-	✓	-	-	-
19	Chen et al. (2017)	✓	-	-	-	✓	-	-	-
20	Xin et al. (2017)	✓	-	-	-	✓	-	-	-
21	Elmougy et al. (2017)	✓	-	✓	-	✓	-	-	-
22	Ghoneem and Kulkarni (2017)	-	-	-	✓	-	✓	-	-
23	Benifa and Dejeay (2017)	-	-	-	✓	✓	✓	-	✓
24	Rodriguez and Buyya (2017)	✓	-	-	-	✓	-	-	-
25	Kaur and Mehta (2017)	-	-	-	✓	✓	-	-	-
	Total	18	5	7	12	15	4	1	4

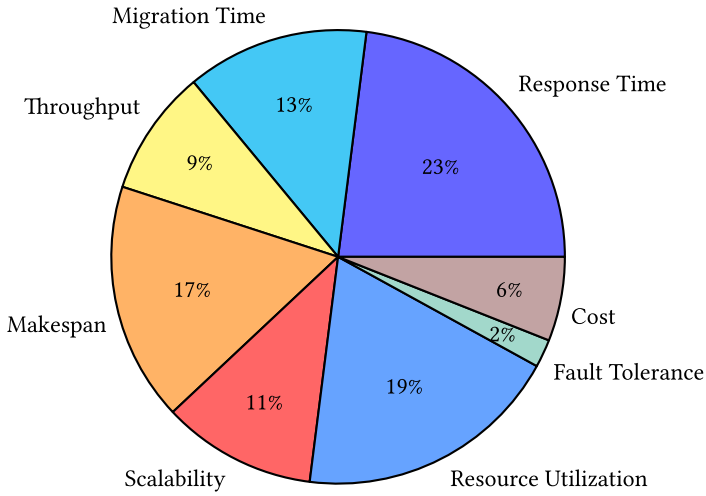


Fig. 5. Percentage of load-balancing metrics in surveyed techniques.

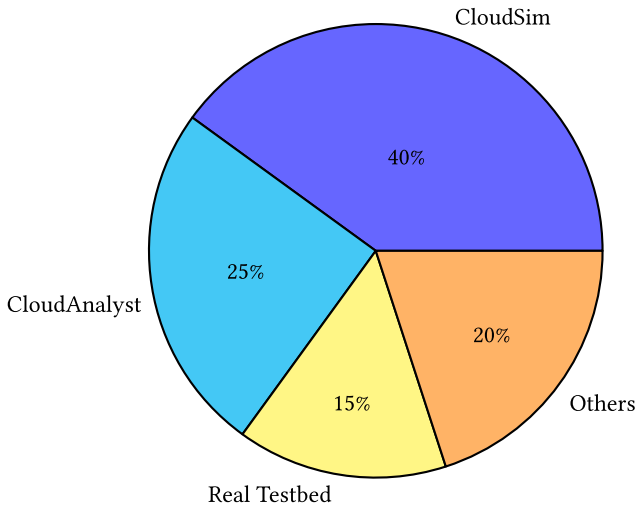


Fig. 6. Evaluation tools/techniques used in survey papers.

- A large number of service providers are establishing data centers. It is a bigger challenge for users to identify the perfect service provider according to their requirements.
- With growing cloud providers, there may be a situation that requires transferring a workload to another cloud provider and may be a challenge owing to different data and service policies.
- **Data lock-in** can also be an issue when a workload needs to transfer to another cloud provider which requires some policies to resolve such issues.
- Improving the reliability of a cloud system through component-level testing and a checkpoint-based approach.
- Due to the increasing demand for cloud service along with data centers, power consumption is also increasing; minimizing power consumption is a significant issue.

- A huge amount of data is generated daily from different sources, such as banking, social sites, and e-commerce, which requires a high-quality storage system and a stellar technique for easy retrieval and analysis. 星形的
- Management of resources and applications in the heterogeneous cloud environment is a very complex task.
- A well-designed resource allocation mechanism is a significant issue for service providers to keep improving resource use.

## 9 CONCLUSION

Load balancing of tasks on VMs is a prime challenge in cloud computing that has commanded significant attention from researchers. This article represents a state-of-the-art review of issues and challenges of load balancing. According to this study, an extensive survey has been done on numerous load-balancing techniques considering different metrics. Based on our knowledge, we have classified these load-balancing techniques into several categories: General Load-Balancing Techniques, Natural Phenomena-Based Load Balancing, Task-Based Load Balancing, and Agent-Based Load Balancing. From each category, we have discussed advantages, disadvantages, concepts, and challenges with these techniques. Numerous load-balancing algorithms are available that incorporate most load-balancing metrics and provide higher resource use and less response time. However, there is a need to improve the techniques for increasing performance of the system in the future. Along with the improvement in system performance and resource use, load-balancing techniques are also stressing green computing, energy saving, and task load management, which requires developing new algorithms. Therefore, it also becomes necessary to analyze newly developed and recent load-balancing techniques from different categories on simulators based on various load-balancing metrics to check the effectiveness of these algorithms before deployment in the actual cloud environment.

This study will be helpful for researchers to identify research problems working in the load-balancing field and will provide a summary of available load-balancing techniques.

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